

# 2017 NCHA 4-YEAR-OLD DERBY

## I. 2017 Nominations

1. To be held in July of 2017.
2. For four year olds (foals of 2013), all breeds.
3. The 2017 NCHA Derby will be held in two divisions: Open and Non-Professional. Entry in the Open Derby shall be made by horse. A horse may be entered in both divisions. Note: Owners may enter an unlimited number of horses in the Open Division.
4. Riders may ride a maximum of three (3) horses, two (2) of any sex and one (1) eligible Gelding, or may ride up to three (3) eligible Gelding horses in each of the Derby divisions. A Gelding that a rider shows as a third horse must be entered in the Gelding class (a contest within a contest). **All open riders at the Summer Spectacular who have a third horse will be required to submit rider changes/additions by 5:00 pm the day before the open division first go-round begins. Any violation of this rule will cause the rider to be disqualified from the contest, with possible additional disciplinary action.**

<b>5. Nomination Fees—Each Division:</b>	<b>Open</b>	<b>Non-Pro</b>
On or before April 15, 2017 . . . . .	\$1,250	\$1,250
On or before May 15, 2017 . . . . .	\$1,450*	\$1,425*
<b>Total . . . . .</b>	<b>\$2,700</b>	<b>\$2,675</b>

**Penalties apply after 10 day grace period on all payments.**

\*There is a \$50 NCHA fee, \$35 processing fee and \$15 drug fee per entry added to final payment.

Open Includes a \$100 PAC Fee. Non-Pro includes a \$75 PAC fee. (\*\* see note below regarding PAC fee).

NCHA deducts \$525 for expenses All other nomination fees will be added to the purse. U.S. funds or equivalent required.

**\*\*PAC fees \*\*:** Under Texas Election Code, Chapter 253, the Political Action Committee (PAC) fee is a voluntary contribution. Funding the PAC is important to be able to maintain state funding at the NCHA Triple Crown. Your contribution will provide critical assistance to the NCHA with this effort. To opt out of the PAC fee, submit your payment without the amount indicated as the PAC fee for your class.

6. **Late Entries:** Late entries will be allowed by paying 50 percent as much as is due on original entries, plus remaining fees. Late entries will be allowed after the draw but before the beginning of the first scheduled go-round by paying two times the nomination fee (\$5,000.00).

- a. Any payment received in the NCHA office postmarked after the date due will be subject to a penalty according to the late penalty schedule. **There will be a 10-day grace period. Official postmark of the US Postal Service.** Issuance of a check that is not honored for any reason or a credit card payment that is declined for any reason to cover an entry fee shall constitute no payment. To prevent late penalty, payment must be made good within the entry postmark.

- b. All late entries must be made prior to the beginning of the first scheduled go-round (e.g. Derby or Classic/Challenge). Late entries made after the draw will be required to work last in a herd of cattle.

## II. Rules Applicable to Non-Pro Entries

1. A Non-Pro has two options on entering the Derby in the Non-Pro division.
  - a. You may name your horse when you send in the first payment.
  - b. You may enter under your name (slot).
2. A Non-Pro may enter two horses in the Non-Pro division.
3. **A Non-Professional rider's name (slot) may be sold to another Non-Professional.**
  - a. A non-pro may sell their slot or named entry after the draw but before the beginning of the first scheduled go-round. **The buyer will be required to submit horse registration papers**

or a bill of sale showing proof of ownership to the NCHA along with \$400 by 5pm the evening before the go-round starts. After 5pm and prior to the start of the go-round, the charge will be \$500. When a Non-Professional or Amateur sells a slot after the draw they cannot repurchase another slot in that class. They may however buy a horse from another Non-Professional or Amateur which is entered in that class and show the purchased horse in the slot which has been assigned to that horse.

4. If, at the time final nomination payment is made, the horse or horses to be ridden are not named, you have the option to withhold this information until 5pm the evening before the event for an additional charge of \$400. Any slot entry that is not complete by 5pm the evening before the start of the first go-round (rider change, horse name, fees paid or slot sale) will be charged \$500.

5. Each Non-Professional Derby entry shall name the horses or horses to be ridden. If a Non-Professional rider doesn't name his/her horse or horses before the draw, the working order will be drawn in the name of the rider; if two horses are entered they shall work in alphabetical order. A rider who names one horse will work in the first assigned draw and the other draw will be left vacant.

## 6. Double Entry and Transfer Privileges

**Entry made in a Non-Professional rider's name (slot) may not be transferred to the Open division.**

- a. Any horse paid up to date in either division on or before the May 15 payment may enter in the other division without a late penalty. If you have a Non-Pro slot, you must name your horse at this time.
- b. A Non-Pro may transfer to the Open division only if the horse is named on the first payment entry form.
- c. A horse (**not a slot**) may be transferred to or from either division on or before May 15 without penalty. A transfer after the final payment but before the draw will be required to pay \$1,000 plus a \$100 transfer fee.

7. **A Non-Professional must send in proof of ownership of horse as required by NCHA standing rules with accompanying entry.**

## III. Derby rules applicable to all entries

### 1. Double Entry and Transfer Privileges

- a. Any horse paid up to date in either division on or before the May 15 payment is due may enter in the other division without a late penalty.
- b. A horse (not a slot) may be transferred to or from either division on or before May 15 without penalty. Transfer after the final payment is due but before the draw will be required to pay \$1,000 plus a \$100 transfer fee.

2. **Substitutions:** *NON-PRO Division: The owner will be allowed to substitute another horse by paying one-half (1/2) again as much as has been paid on original entry with a maximum of \$500. No substitution will be allowed after the beginning of the division's first go-round. OPEN Division: If a veterinary certificate is received stating that a horse entered will be unable to work, the owner will be allowed to substitute another horse by paying one-half (1/2) again as much as has been paid on original entry. No substitution will be allowed after the beginning of the division's first go-round. A horse that has been substituted in the open will be unable to be shown in any other division for the remainder of the show. No substitution will be allowed after the beginning of a division's first go-round. After the draw any horse that is in the Open Division may sell their draw position if a veterinary certificate is received stating that the horse entered is unable to compete. The buyer of that draw position will be required to pay NCHA an additional \$2,000. (\$1,000 jackpot, \$1,000 office*

charge). Horse registration papers must be submitted to NCHA for the new entry. This transaction must be completed before the beginning of the divisions' first go-round to be able to compete. A horse that has had their draw position sold will be unable to be shown in any other division for the remainder of the show.

**3. Change of Ownership:** An entry may change ownership and retain eligibility under the new owner, provided the National Cutting Horse Association Limited Age Events Committee is so advised and the new owner keeps up all payments when due.

**4. Declining Entries:** Entries to this contest are received subject to the right of the National Cutting Horse Association Limited Age Events Committee to refuse the entry or acceptance of a transfer of any entry without notice to the subscriber. The decisions of the Committee shall be final in all matters.

The National Cutting Horse Association reserves the right to cancel this event without notice at any time prior to the contest without liability to them, but in such event, return all entry money to subscribers.

**5. All owners and riders of a nominated horse must be members in good standing of the National Cutting Horse Association.**

**6. Refunds:** There will be no refunds for any reason.

**7. Failure to Pay Fees:** All entry fees will be made payable to the National Cutting Horse Association in United States Funds or its equivalent and transmitted by U.S. Mail showing stamp cancellation date not later than midnight of the due date. All fees must be paid on or before dates specified or entry will be cancelled without refund. **There will be a 10-day grace period.**

**a. The draw** will not take place more than twenty-one (21) days prior to the beginning of the first scheduled go-round. Only those horses (or Non-Professional riders) for which all payments have been **received** in the NCHA office will be drawn. **There will be no exceptions.** In the event that a horse properly entered is left out of the draw, a redraw **will not** be held. In order to establish a working order for this entry, the judge will draw one number based on the original draw numbers and insert the entry in the position drawn. The entry shall work behind the number drawn.

**8. Identification of Horses:** A photocopy of the registration (at the time of nomination) certificate of registered horses must be provided prior to being shown. If the horse being entered is unregistered, unregistered horse application, bill of sale, photos front & back & both sides, and a vet statement attesting to age, must be forwarded to the NCHA business office no later than April 15, 2017. If between April 15 and thirty days before the Trials, \$100.00. Horses entered late after April 15 must furnish this identification with entry. Failure to comply will cause all fees to be forfeited and entry to be disqualified. A horse is not eligible to participate in the National Cutting Horse Derby, if its age, as determined by examination of its teeth, exceeds the age shown on its registration certificate on registered horses or the notarized statement of age and description required for unregistered horses, such determination by dentition examination to be made in accordance with The Official Guide for Determining the Age of the Horse as currently published by the American Association of Equine Practitioners.

**9. Change of Ownership:** An entry may change ownership and retain eligibility under the new owner, provided the appropriate National Cutting Horse Association Committee is so advised and the new owner keeps up all payments when due.

**10. Declining Entries:** Entries to this contest are received subject to the right of the appropriate National Cutting Horse Association Committee to refuse the entry or the acceptance of a transfer of any entry without notice to the subscriber. The decisions of the Committee shall be final in all matters. The National Cutting Horse Association reserves the right to cancel this event without notice at any time prior to the contest without liability to them, but in such event, return all entrance money to subscribers.

**11. Derby Trials (Both Divisions):** All horses entered will work in the Derby Trials to be held before the actual Derby provided they meet all previous stated requirements. The Trials shall consist of two go-rounds; conditioned, however that at the conclusion of the first go-round only the high scoring **sixty percent (60%)** with a maximum of **one hundred thirty-five (135)** will advance to the second Trials go-round of the open division and a maximum of one hundred twenty (120) will advance to the second trials go-round of the non-professional and that all other horses will be dropped from further competition. In the event that there are less than one hundred (100) horses entered in a division of the Trials, there will be no Semi-finals for that division.

**12. Derby Open:** The Top (20) horses plus ties to advance to the finals. Those advancing to the finals shall be based on the semi-finals score. The Top 20% of horses entered in the first go-round with a minimum of (45) and a maximum of (60) horses shall advance to the semi-finals based on their combined go-round score. 40% of purse to be paid in semi-finals and 60% in the finals.

**Derby Non-Pro:** The Top (20) horses plus ties to advance to the finals. Those advancing to the finals shall be based on the semi-finals score. The Top 20% of horses entered in the first go-round with a minimum of (45) and a maximum of (60) horses shall advance to the semi-finals based on their combined go-round score. 45% of purse to be paid in semi-finals and 55% in the finals.

**13. Derby (Both Divisions):** Upon qualifying for the Derby, horses will owe no further fees to remain eligible. Premium money will be paid to all finalists.

Scores made in the Trials and Semi-finals to be disregarded completely, with scores made in the Derby only to determine placings. In the event of a tie for the Championship in either division, a workoff will not be held to determine the winner. If two tie, first and second place premiums will be added together, and split fifty percent equally. **(There will be Co-Champions)** If more than two tie, all premiums will be split equally. The work-off will be for prizes and the title. The premiums paid for the remaining participants in the work-off will be determined by adding the balance of the premiums for these places and dividing them equally among the Co-Reserve Champions.

**14. Late Entries:** Late entries will be allowed by paying 50 percent as much as is due on original entries, plus remaining fees. Late entries will be allowed after the draw but before the beginning of the first scheduled go-round by paying two times the nomination fee (\$5,000.00).

**a.** Any payment received in the NCHA office postmarked after the date due will be subject to a penalty according to the late penalty schedule. **There will be a 10-day grace period. Official postmark of the US Postal Service.** Issuance of a check that is not honored for any reason or a credit card payment that is declined for any reason to cover an entry fee shall constitute no payment. To prevent late penalty, payment must be made good within the entry postmark.

**b.** All late entries must be made prior to the beginning of the first scheduled go-round (e.g. Derby or Classic/Challenge). Late entries made after the draw will be required to work last in a herd of cattle.

**15. Judges:** Five judges will be named to judge the event from those eligible judges on the NCHA Approved Judges List who have indicated their probable availability. All judges will be under the direct supervision of the NCHA Director of Judges.

**16. Judging:**

(a) Each judge will score every horse and the highest and lowest scores for each horse will be discarded. The total of the remaining three scores will be the horse's official mark.

(b) No individual scores will be announced; however, identifiable photo copies of all judges' cards will be posted.

(c) All score cards will be retained for three years and will then be destroyed.

(d) Anyone making remarks referring to the score or scores given any horse to a judge, a Judge Monitor or to his or her companion or family will be given an immediate hearing by the appropriate committee and, if deemed guilty, will be disqualified, forfeit any money won and banned from the arena.

(e) The NCHA Adjusted Monitor System, and all rules and polices set forth by that system will be used.

**17.** All rules of the National Cutting Horse Association not in direct conflict with the rules of the National Cutting Horse Derby, as published herein, or amended in accordance with Derby Rule #18 shall apply.

**18.** These rules subject to change by action of the Executive Committee only.

**19.** The announcer starts the 1 - 2 1/2 minute clock as soon as the owner, rider and horse's name is called called to the herd The horse has to start the official 2 1/2 minute working time clock. If the competitor fails to enter the official time line in the time allotted a bell will sound and an additional 1-minute clock will start to repeat the process. The first offense for missing the clock will result in a warning. The second offense and all subsequent offenses by that rider will result in another \$500 fine. The 1 - 2 1/2 minute clock fines must be paid prior to entry into the next NCHA produced event

<b>Nomination Fees—Each Division:</b>	<b>Open</b>	<b>Non-Pro</b>
<b>On or before April 15, 2017</b> .....	<b>\$1,250</b>	<b>\$1,250</b>
<b>On or before May 15, 2017</b> .....	<b>\$1,450*</b>	<b>\$1,425*</b>
<b>Total</b> .....	<b>\$2,700</b>	<b>\$2,675</b>

**Penalties apply after 10 day grace period on all payments.**

Official postmark by US Postal Service)  
 \*There is a \$50 NCHA fee, \$35 processing fee and \$15 drug fee per entry added to final payment.  
 Open Includes a \$100 PAC Fee. Non-Pro includes a \$75 PAC fee.  
 NCHA deducts \$525 for expenses. All other nomination fees will be added to the purse. U.S. funds or equivalent required.  
 \*\*PAC fees \*\*(Under Texas Election Code, Chapter 253, the Political Action Committee (PAC) fee is a voluntary contribution.

Funding the PAC is important to be able to maintain state funding at the NCHA Triple Crown. Your contribution will provide critical assistance to the NCHA with this effort. To opt out of the PAC fee, submit your payment with out the amount indicated as the PAC fee for your class).

20. By your signature on this entry form, you acknowledge that you are familiar with and subject to the provisions of the Medication and Drug Rules and Guidelines contained in the NCHA Rulebook. You also agree that, if requested, you will permit blood samples to be taken from your horse to comply with the random testing provisions contained in those Rules and Guidelines. Blood samples will be taken from the champion horses in all divisions. Random testing will also be performed throughout the show.

## 2017 NCHA 4-YEAR-OLD DERBY ENTRY FORM (Must be fully completed)

FOR FOALS OF 2013 *Photo copy of registration certificate ( front & back) or if unregistered, the unregistered horse application and colored photos MUST accompany the entry form.*  
**LATE ENTRY IN ALL EVENTS REQUIRES A PENALTY • ENTRIES NOT ACCEPTED WITHOUT PAYMENT • SEE CORRESPONDING SCHEDULE**

HORSE NAME & REG. #	DIVISION ENTERED		RIDER & NCHA MEMBER #
	OPEN	NP	
horse _____ Reg # _____			rider _____ NCHA # _____
horse _____ Reg # _____			rider _____ NCHA # _____
horse _____ Reg # _____			rider _____ NCHA # _____

If contestant is under 21 years of age both contestant and parent or legal guardian must sign this form.

**RELEASE FROM LIABILITY AND WAIVER OF RESPONSIBILITY**

As a condition to participate in this event, the NCHA, its directors, officers, employees, members, agents and representatives **ARE HEREBY RELEASED** from all claims, demands, or causes of action of any kind or nature whatsoever; whether now existing or to hereafter accrue, on account of any damage, cost or expense (i) **AS A RESULT OF ANY BODILY INJURY, LOSS OR DAMAGE TO ANY ANIMALS, EQUIPMENT OR OTHER PERSONAL PROPERTY, FROM ANY CAUSE WHATSOEVER INCLUDING, BUT NOT LIMITED TO, THE SOLE OR CONCURRENT NEGLIGENCE OF NCHA, ITS DIRECTORS, OFFICERS,**

**EMPLOYEES, AGENTS OR REPRESENTATIVES;** or (ii) as a result of the interpretation or enforcement of the NCHA Constitution, ByLaws, Rules or Regulations and the risk of any such damage, cost or expense which may occur by reason of foregoing is hereby assumed and accepted. This waiver is binding on the undersigned as well as all riders, grooms and other helpers associated with the participation of the horse(s) described herein in this event and the undersigned indemnifies the NCHA from all claims, demands, or causes of action based on any of the foregoing.

Owner \_\_\_\_\_ Address \_\_\_\_\_  
 (as it will be listed in the event program)

City, State, Zip \_\_\_\_\_ Daytime Phone \_\_\_\_\_

Signed by: \_\_\_\_\_ NCHA ID No. \_\_\_\_\_

Minor Signature: \_\_\_\_\_ Parent/Legal Guardian: \_\_\_\_\_

Correspondent \_\_\_\_\_ Address \_\_\_\_\_

City, State, Zip \_\_\_\_\_ Daytime Phone \_\_\_\_\_

Signed by: \_\_\_\_\_ NCHA ID No. \_\_\_\_\_

***Photocopy of registration certificate (front & back) or unregistered horse application and color photos must accompany this application.***

Send to: 260 Bailey Ave., Fort Worth, TX 76107-1862 • (817) 244-6188 • Fax (817) 244-2015

**Premium checks will be made to the Registered owner of the horse. S.S. or Corp. ID must be on file with NCHA.**

\*The Internal Revenue Service is now requiring NCHA to withhold income tax on premium monies won at a rate of 28% on all taxpayers who do not provide a Taxpayer identification Number (Social Security No. or Business ID No.). Please be sure to provide this information to NCHA accounting department.

MasterCard  Visa Card  AmExp  Discover Card Number \_\_\_\_\_

Amount \$ \_\_\_\_\_ Exp. Date \_\_\_\_\_ 3 digit security code \_\_\_\_\_