

2011 NCHA 4-Year-Old Derby



Presented by Great American Insurance



I. 2011 Nominations

1. To be held in July of 2011.
2. For four year olds (foals of 2007), all breeds.
3. The 2011 NCHA Derby will be held in two divisions: Open and Non-Professional. Entry in the Open Derby shall be made by horse. A horse may be entered in both divisions. Note: Owners may enter an unlimited number of horses in the Open Division.
4. Riders may ride a maximum of three (3) horses, two (2) of any sex and one (1) eligible Gelding, or may ride up to three (3) eligible Gelding horses in each of the Derby divisions. A Gelding that a rider shows as a third horse must be entered in the Gelding class (a contest within a contest). Any violation of this rule will cause the rider to be disqualified from the contest, with possible additional disciplinary action.

5. Nomination Fees—Each Division:	Open	Non-Pro
On or before March 15, 2011	\$400	\$400
On or before April 15, 2011	\$600	\$600
On or before May 15, 2011	\$1135*	\$1110*
Total	\$2,135	\$2,110

Penalties apply after 10 day grace period on all payments.

*There is a \$25 cattle surcharge and \$10 drug fee per entry added to final payment.

Open Includes a \$100 PAC Fee. Non-Pro includes a \$75 PAC fee.

(** see note below regarding PAC fee).

NCHA deducts 40% for expenses All other nomination fees will be added to the purse. U.S. funds or equivalent required.

**PAC fees **(Under Texas Election Code, Chapter 253, the Political Action Committee (PAC) fee is a voluntary contribution. Funding the PAC is important to be able to maintain state funding at the NCHA Triple Crown. Your contribution will provide critical assistance to the NCHA with this effort. To opt out of the PAC fee, submit your payment without the amount indicated as the PAC fee for your class). Checks from a C or S Corporation can not be accepted by the PAC.

6. **Late Entries:** Late entries will be allowed by paying 50 percent as much as is due on original entries, plus remaining fees. Late entries will be allowed after the draw but before the beginning of the first scheduled go-round by paying two times the nomination fee (\$4,000.00).

- a. Any payment received in the NCHA office postmarked after the date due will be subject to a penalty according to the late penalty schedule. **There will be a 10-day grace period. Official postmark of the US Postal Service.**
- b. All late entries must be made prior to the beginning of the first scheduled go-round (e.g. Derby or Classic/Challenge). Late entries made after the draw will be required to work last in a herd of cattle.

II. Rules Applicable to Non-Pro Entries

1. A Non-Pro has two options on entering the Derby in the Non-Pro division.
 - a. You may name your horse when you send in the first payment.
 - b. You may enter under your name (slot).
2. A Non-Pro may enter two horses in the Non-Pro division.
3. **A Non-Professional rider's name (slot) may be sold to another Non-Professional.**
 - a. A non-pro may sell their slot or named entry after the draw but before the beginning of the first scheduled go-round. The buyer

will be required to submit horse registration papers or a bill of sale showing proof of ownership to the NCHA along with \$500. (\$250 jackpot, \$250 office charge). **When a Non-Professional or Amateur sells a slot after the draw they cannot repurchase another slot in that class, if they currently have the maximum amount of slots or entries allowed for the division. They may however buy a horse from another Non-Professional or Amateur which is entered in that class and show the purchased horse in the slot which has been assigned to that horse.**

4. If, at the time final nomination payment is made, the horse or horses to be ridden are not named, you have the option to withhold this information until 24 hours prior to the event for an additional charge equal to the applicable substitution fee (\$500.00).
5. Each Non-Professional Derby entry shall name the horses or horses to be ridden. If a Non-Professional rider doesn't name his/her horse or horses before the draw, the working order will be drawn in the name of the rider; if two horses are entered they shall work in alphabetical order. A rider who names one horse will work in the first assigned draw and the other draw will be left vacant.
6. **Double Entry and Transfer Privileges**
Entry made in a Non-Professional rider's name (slot) may not be transferred to the Open division.
 - a. Any horse paid up to date in either division on or before the April 15 payment may enter in the other division without a late penalty. If you have a Non-Pro slot, you must name your horse at this time.
 - b. A Non-Pro may transfer to the Open division only if the horse is named on the first payment entry form.
 - c. A horse (**not a slot**) may be transferred to or from either division on or before April 15 without penalty, and may be transferred until the final payment by payment of a \$100.00 transfer fee. A transfer after the final payment but before the draw will be required to pay \$1,000 plus a \$100 transfer fee.
7. **A Non-Professional must send in proof of ownership of horse as required by NCHA standing rules with accompanying entry.**

Each competitor agrees to submit to and cooperate in providing a polygraph test regarding compliance with proof of horse ownership as required by NCHA rules. The Contestant will be available on the day following the Finals to permit such testing if requested. The administration and interpretation of any polygraph test shall be solely the responsibility of the NCHA through the Executive Director who, without limiting the foregoing, shall have sole discretion to select the place where the test is administered, the individual who administers the test and the questions to be used in the test.

III. Derby rules applicable to all entries

1. **Double Entry and Transfer Privileges**
 - a. Any horse paid up to date in either division on or before the April 15 payment is due may enter in the other division without a late penalty.
 - b. A horse (not a slot) may be transferred to or from either division on or before April 15 without penalty, and may be transferred until the final payment by payment of a \$100.00 transfer fee. Transfer after the final payment is due but before the draw will be required to pay \$1,000 plus a \$100 transfer fee.
2. **Substitutions: NON-PRO Division: The owner will be allowed to substitute another horse by paying one-half (1/2) again as**

much as has been paid on original entry with a maximum of \$500. No substitution will be allowed after the beginning of the division's first go-round. OPEN Division: If a veterinary certificate is received stating that a horse entered will be unable to work, the owner will be allowed to substitute another horse by paying one-half (1/2) again as much as has been paid on original entry. No substitution will be allowed after the beginning of the division's first go-round. A horse that has been substituted in the open will be unable to be shown in any other division for the remainder of the show. No substitution will be allowed after the beginning of a division's first go-round. After the draw any horse that is in the Open Division may sell their draw position if a veterinary certificate is received stating that the horse entered is unable to compete. The buyer of that draw position will be required to pay NCHA an additional \$2000. (\$1,750 jackpot, \$250 office charge). Horse registration papers must be submitted to NCHA for the new entry. This transaction must be completed before the beginning of the divisions' first go-round to be able to compete. A horse that has had their draw position sold will be unable to be shown in any other division for the remainder of the show.

3. **Change of Ownership:** An entry may change ownership and retain eligibility under the new owner, provided the National Cutting Horse Association Limited Age Events Committee is so advised and the new owner keeps up all payments when due.
4. **Declining Entries:** Entries to this contest are received subject to the right of the National Cutting Horse Association Limited Age Events Committee to refuse the entry or acceptance of a transfer of any entry without notice to the subscriber. The decisions of the Committee shall be final in all matters.
The National Cutting Horse Association reserves the right to cancel this event without notice at any time prior to the contest without liability to them, but in such event, return all entry money to subscribers.
5. All owners and riders of a nominated horse must be members in good standing of the National Cutting Horse Association.
6. **Refunds:** There will be no refunds for any reason.
7. **Failure to Pay Fees:** All entry fees will be made payable to the National Cutting Horse Association in United States Funds or its equivalent and transmitted by U.S. Mail showing stamp cancellation date not later than midnight of the due date. All fees must be paid on or before dates specified or entry will be cancelled without refund. **There will be a 10-day grace period.**
 - a. **The draw** will not take place more than twenty-one (21) days prior to the beginning of the first scheduled go-round. Only those horses (or Non-Professional riders) for which all payments have been received in the NCHA office will be drawn. **There will be no exceptions.** In the event that a horse properly entered is left out of the draw, a redraw **will not** be held. In order to establish a working order for this entry, the judge will draw one number based on the original draw numbers and insert the entry in the position drawn. The entry shall work behind the number drawn.
8. **Identification of Horses:** A photocopy of the registration certificate of registered horses, or a notarized statement of age and description by a licensed veterinarian for unregistered horses, must be forwarded to the NCHA business office no later than April 15, 2011. Horses entered late after said date must furnish this identification with entry. (Identification filed for NCHA Futurity or Super Stakes will be automatically transferred.) A horse is not eligible to participate in the National Cutting Horse Derby if its age as determined by examination of its teeth does not correspond to the age shown on its registration certificate on registered horses or the notarized statement of age and description required for unregistered horses, such determination by dentition examination to be made in accordance with The Official Guide for Determining the Age of the Horse as currently published by the American Association of Equine Practitioners.
9. **Change of Ownership:** An entry may change ownership and retain eligibility under the new owner, provided the appropriate National Cutting Horse Association Committee is so advised and the new owner keeps up all payments when due.
10. **Declining Entries:** Entries to this contest are received subject to the right of the appropriate National Cutting Horse Association Committee to refuse the entry or the acceptance of a transfer of any entry without

notice to the subscriber. The decisions of the Committee shall be final in all matters. The National Cutting Horse Association reserves the right to cancel this event without notice at any time prior to the contest without liability to them, but in such event, return all entrance money to subscribers.

11. Riders may ride two horses of any sex in the Open and Non-Professional Derby. Any violation of this rule will cause the rider to be disqualified from the contest on all horses, with possible additional disciplinary action.
12. **Derby Trials (Both Divisions):** All horses entered will work in the Derby Trials to be held before the actual Derby provided they meet all previous stated requirements. The Trials shall consist of two go-rounds; conditioned, however that at the conclusion of the first go-round only the high scoring **sixty percent (60%)** with a maximum of **one hundred thirty-five (135)** will advance to the second Trials go-round of the open division and a maximum of one hundred twenty (120) will advance to the second trials go-round of the non-professional (**It will take a score of 181 or higher in either division to advance to the second go-round**). All other horses will be dropped from further competition. The top fifty percent (50%) with a maximum of sixty (60) horses that have competed in both go-rounds of each division's Trials shall advance to the Derby Semi-finals of each division. In the event that there are less than one hundred (100) horses entered in a division of the Trials, there will be no Semi-finals for that division. The number of horses to advance to the finals shall be equal to **twenty percent (20%)** of those horses competing in the first go-round with a minimum of ten (10) and a maximum of twenty (20). Those advancing to the finals shall be based on the **semi-finals** score. All semi-finalists will receive \$3,000.
13. **Derby Semi-Finals (Both Divisions):** The top fifty percent (50%) with a maximum of sixty (60) horses entered in the Derby Trials will be eligible to compete in the Derby Semi-finals.
14. **Derby (Both Divisions):** Upon qualifying for the Derby, horses will owe no further fees to remain eligible. The remaining original nomination fees and the proportionate share of the added purse will be paid in the Derby. Premium money will be paid to all finalists.
Scores made in the Trials and Semi-finals to be disregarded completely, with scores made in the Derby only to determine placings. In the event of a tie for the Championship in either division, a workoff will be held to determine the winner. If two tie, first and second place premiums will be added together, and split fifty percent equally. (**There will be Co-Champions**) If more than two tie, all premiums will be split equally. The work-off will be for prizes and the title. The premiums paid for the remaining participants in the work-off will be determined by adding the balance of the premiums for these places and dividing them equally among the Co-Reserve Champions.
15. **Late Entries:** Late entries will be allowed by paying a fifty percent (50%) penalty on original sustaining fees plus remaining fees.
 - a. Late entries will be accepted after the draw. For entries that were current until the last sustaining payment, the penalty shall be fifty percent (50%) of the final sustaining fee. The penalty shall be two times the total entry fee if the entry is not current in sustaining payments. All late entries must be made prior to the beginning of the first scheduled go round of the Summer Cutting Spectacular. Late entries made after the draw will be required to work last in a herd of cattle.
16. **Judges:** Five judges will be named to judge the event from those eligible judges on the NCHA Approved Judges List who have indicated their probable availability. All judges will be under the direct supervision of the NCHA Director of Judges.
17. **Judging:**
 - (a) Each judge will score every horse and the highest and lowest scores for each horse will be discarded. The total of the remaining three scores will be the horse's official mark.
 - (b) No individual scores will be announced; however, unidentifiable photo copies of all judges' cards will be posted.
 - (c) All score cards will be retained for three years and will then be destroyed .
 - (d) Anyone making remarks referring to the score or scores given any horse to a judge, a Judge Monitor or to his or her companion or family will be given an immediate hearing by the appropriate committee and, if deemed guilty, will be disqualified, forfeit any money won and banned from the arena.
 - (e) The NCHA Adjusted Monitor System, and all rules and polices set forth by that system will be used.

- 18. All rules of the National Cutting Horse Association not in direct conflict with the rules of the National Cutting Horse Derby, as published herein, or amended in accordance with Derby Rule #20 shall apply.
- 19. These rules subject to change by action of the Executive Committee only.
- 20. A competing rider will be given one (1) minute to ride to the herd after name is called, or clock will start automatically. If the cutter has helped the previous cutter, the time allotted will be two (2) minutes.

Nomination Fees—Each Division:	Open	Non-Pro
On or before March 15, 2011.....	\$400	\$400
On or before April 15, 2011.....	\$600	\$600
On or before May 15, 2011.....	\$1,135*	\$1,110*
Total	\$2,135	\$2,110

Penalties apply after 10 day grace period on all payments.

Official postmark by US Postal Service)

*There is a \$25 cattle surcharge and \$10 drug fee per entry added to final payment. Open Includes a \$100 PAC Fee. Non-Pro includes a \$75 PAC fee. NCHA deducts 40% for expenses All other nomination fees will be added to the purse. U.S. funds or equivalent required. **PAC fees **Under Texas Election Code, Chapter 253, the Political Action Committee (PAC) fee is a voluntary contribution. Funding the PAC is important to be able to maintain state funding at the NCHA Triple Crown. Your contribution will provide critical assistance to the NCHA with this effort. To opt out of the PAC fee, submit your payment without the amount indicated as the PAC fee for your class). Checks from a C or S Corporation can not be accepted by the PAC.

- 21. **Blood and/or urine samples will be taken from Champions and Reserve Champions in all divisions, plus random samples throughout the show.**

2011 NCHA 4-YEAR-OLD DERBY ENTRY FORM (Must be fully completed)

FOR FOALS OF 2007 PHOTOCOPY OF REGISTRATION CERTIFICATE OR COLORED PHOTOS OF UNREGISTERED HORSES MUST ACCOMPANY THIS APPLICATION. LATE ENTRY IN ALL EVENTS REQUIRES A PENALTY • ENTRIES NOT ACCEPTED WITHOUT PAYMENT • SEE CORRESPONDING SCHEDULE

HORSE NAME & REG. #	DIVISION ENTERED		RIDER & NCHA MEMBER #
	OPEN	NP	
horse _____ Reg # _____			rider _____ NCHA # _____
horse _____ Reg # _____			rider _____ NCHA # _____
horse _____ Reg # _____			rider _____ NCHA # _____

AS A CONDITION TO ENTRY IN THIS CONTEST I AGREE TO SUBMIT TO A POLYGRAPH EXAMINATION REGARDING COMPLIANCE WITH OR VIOLATION OF NCHA RULES GOVERNING NON-PROFESSIONAL HORSE OWNERSHIP, IF REQUESTED BY THE EXECUTIVE DIRECTOR AS PART OF AN INVESTIGATION CONDUCTED PURSUANT TO THE RULES.

If contestant is under 21 years of age both contestant and parent or legal guardian must sign this form.

RELEASE FROM LIABILITY AND WAIVER OF RESPONSIBILITY

As a condition to participate in this event, the NCHA, its directors, officers, employees, members, agents and representatives **ARE HEREBY RELEASED** from all claims, demands, or causes of action of any kind or nature whatsoever; whether now existing or to hereafter accrue, on account of any damage, cost or expense (i) **AS A RESULT OF ANY BODILY INJURY, LOSS OR DAMAGE TO ANY ANIMALS, EQUIPMENT OR OTHER PERSONAL PROPERTY, FROM ANY CAUSE WHATSOEVER INCLUDING, BUT NOT LIMITED TO, THE SOLE OR CONCURRENT NEGLIGENCE OF NCHA,**

ITS DIRECTORS, OFFICERS, EMPLOYEES, AGENTS OR REPRESENTATIVES; or

(ii) as a result of the interpretation or enforcement of the NCHA Constitution, ByLaws, Rules or Regulations and the risk of any such damage, cost or expense which may occur by reason of foregoing is hereby assumed and accepted. This waiver is binding on the undersigned as well as all riders, grooms and other helpers associated with the participation of the horse(s) described herein in this event and the undersigned indemnifies the NCHA from all claims, demands, or causes of action based on any of the foregoing.

Owner _____ Address _____
(as it will be listed in the event program)

City, State, Zip _____ Daytime Phone _____

Signed by: _____ NCHA ID No. _____

Minor Signature: _____ Parent/Legal Guardian: _____

Correspondent _____ Address _____

City, State, Zip _____ Daytime Phone _____

Signed by: _____ NCHA ID No. _____

Photocopy of registration certificate or colored photos of unregistered horses must accompany this application. Send to: 260 Bailey Ave., Fort Worth, TX 76107-1862 • (817) 244-6188

Make premium checks payable to: _____ S.S. or Corp. ID # _____.

*The Internal Revenue Service is now requiring us to withhold income tax on premium monies won at a rate of 31% on all taxpayers who do not provide a Taxpayer Identification Number (Social Security No. or Business ID No.). Please be sure to provide this on your entry form.

MasterCard Visa Card

Card Number _____ Amount \$ _____ Exp. Date _____ 3 digit security code _____